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=> fil hcap

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FILE COVERS 1907 - 3 Dec 2010 VOL 153 ISS 24
FILE LAST UPDATED: 2 Dec 2010 (20101202/ED)
REVISED CLASS FIELDS (/NCL) LAST RELOADED: Aug 2010
USPTO MANUAL OF CLASSIFICATIONS THESAURUS ISSUE DATE: Aug 2010

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m HCAplus}$ now includes complete International Patent Classification (IPC) reclassification data for the fourth quarter of 2010.

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This file contains CAS Registry Numbers for easy and accurate substance identification.

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NODE ATTRIBUTES:

DEFAULT MLEVEL IS ATOM DEFAULT ECLEVEL IS LIMITED

GRAPH ATTRIBUTES:

RING(S) ARE ISOLATED OR EMBEDDED NUMBER OF NODES IS 41

STEREO ATTRIBUTES: NONE

L4 33153 SEA FILE=REGISTRY SSS FUL L2 L9 STR

Page 1-A

VAR G1=42/43/59 VAR G2=CH2/60/62 VPA 46-9/87/10 U NODE ATTRIBUTES: DEFAULT MLEVEL IS ATOM DEFAULT ECLEVEL IS LIMITED

Page 2-A

L11

GRAPH ATTRIBUTES: RING(S) ARE ISOLATED OR EMBEDDED NUMBER OF NODES IS 63

STEREO ATTRIBUTES: NONE

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NODE ATTRIBUTES: DEFAULT MLEVEL IS ATOM DEFAULT ECLEVEL IS LIMITED

GRAPH ATTRIBUTES: RING(S) ARE ISOLATED OR EMBEDDED NUMBER OF NODES IS 41

STEREO ATTRIBUTES: NONE
L4 33153 SEA FILE=REGISTRY SSS FUL L2
L16 STR

Page 1-A

Page 2-A

VAR G1=42/43/59 VAR G2=CH2/60/62 NODE ATTRIBUTES: DEFAULT MLEVEL IS ATOM DEFAULT ECLEVEL IS LIMITED

GRAPH ATTRIBUTES: RING(S) ARE ISOLATED OR EMBEDDED NUMBER OF NODES IS 66

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NODE ATTRIBUTES: DEFAULT MLEVEL IS ATOM DEFAULT ECLEVEL IS LIMITED

GRAPH ATTRIBUTES: RING(S) ARE ISOLATED OR EMBEDDED NUMBER OF NODES IS 41

STEREO ATTRIBUTES: NONE
L4 33153 SEA FILE=REGISTRY SSS FUL L2
L7 STR

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VAR G1=42/43/59 VAR G2=CH2/60/62 VPA 46-9/8/7/10 U NODE ATTRIBUTES: DEFAULT MLEVEL IS ATOM DEFAULT ECLEVEL IS LIMITED

Page 2-A

GRAPH ATTRIBUTES: RING(S) ARE ISOLATED OR EMBEDDED NUMBER OF NODES IS 63

STEREO ATTRIBUTES: NONE

L12 362 SEA FILE=REGISTRY SUB=L4 SSS FUL L7
L13 STR

Page 2-A VAR G1=42/43/59 VAR G2=CH2/60/62 NODE ATTRIBUTES: DEFAULT MLEVEL IS ATOM

DEFAULT ECLEVEL IS LIMITED

GRAPH ATTRIBUTES:

RING(S) ARE ISOLATED OR EMBEDDED

NUMBER OF NODES IS 66

STEREO ATTRIBUTES: NONE

L15 171 SEA FILE=REGISTRY SUB=L4 SSS FUL L13

L19 QUE SPE=ON ABB=ON PLU=ON PHOTORESIST? OR PHOTO(2A)RES

IST? OR RESIST OR RESISTS
L20 241 SEA FILE=HCAPLUS SPE=ON 2

L20 241 SEA FILE=HCAPLUS SPE=ON ABB=ON PLU=ON L12 L21 4 SEA FILE=HCAPLUS SPE=ON ABB=ON PLU=ON L20 AND L19

L22 124 SEA FILE=HCAPLUS SPE=ON ABB=ON PLU=ON L15
L23 3 SEA FILE=HCAPLUS SPE=ON ABB=ON PLU=ON L22 AND L19

L24 6 SEA FILE=HCAPLUS SPE=ON ABB=ON PLU=ON L21 OR L23

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L24 ANSWER 1 OF 6 HCAPLUS COPYRIGHT 2010 ACS on STN

ACCESSION NUMBER: 2010:1309264 HCAPLUS Full-text

DOCUMENT NUMBER: 153:532818
TITLE: Manufacture of color curable compositions useful

for color filters

INVENTOR(S): Mizukawa, Hiroki; Ishiwata, Yasuhiro; Ito,
Junichi; Murakami, Yosuke; Kanna, Shinichi

PATENT ASSIGNEE(S): Fuji Photo Film Co., Ltd., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 81pp.

CODEN: JKXXAF
DOCUMENT TYPE: Patent
LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PRIORITY APPLN. INFO.:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE | | | | | |
|---------------|------|----------|-----------------|--------|--|--|--|--|--|
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| JP 2010235673 | A | 20101021 | JP 2009-82531 | | | | | | |
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JP 2009-82531

200903

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$$\begin{bmatrix} \begin{bmatrix} R^1 & R^2 & R^3 & R^5 \\ N & R^2 & R^3 & R^4 \end{bmatrix} \begin{bmatrix} L^2 \end{bmatrix}_{L^1} \begin{bmatrix} R^8 & R^8 \\ L^2 \end{bmatrix}_{L^1}$$

AB The compns. contain azo methine coloring monomer I (R1-3 = H, monovalent groups; R4,R5 = H, alkyl group, etc.; Za.apprx.Zd = :N- or :C(R6) group where R6 = H, monovalent group; R8 = H, halogen, etc.; L1 = O or other linking group; L2 = linking group; n = 0, 1) which was synthesized and polymerized with unsatd. monomers.

IT 1251941-56-6 1251941-94-2

RL: TEM (Technical or engineered material use); USES (Uses) (co-colorant; manufacture of color curable compns. useful for color filters)

RN 1251941-56-6 HCAPLUS

CN Cuprate(1-), [4-[[C,C,C-tris[4-[[bis(3-

methoxypropyl)amino]carbonyl]phenoxy]-29H,31H-phthalocyanin-1-v1-KN29, KN30, KN31, KN32]oxy]benzenesulfonato(3-

)]-, potassium (1:1) (CA INDEX NAME)

PAGE 1-A

8

RN 1251941-94-2 HCAPLUS

CN Cuprate(1-), [4-[[C,C,C-tris[4-[[bis(3-methoxypropy1)amino]carbony1]phenoxy]-29H,31H-phthalocyanin-1-ylkN29,kN30,kN31,kN32]oxy]benzenesulfonato(3-)]-, sodium (1:1) (CA INDEX NAME)

PAGE 1-A

PAGE 2-A

PAGE 3-A

Na+

C09B0067-20 [I,A]; C09B0067-42 [I,A]; C09B0047-04 [I,A]; C09B0067-22 [I,A]; C09B0067-00 [I,C*]

CC 42-12 (Coatings, Inks, and Related Products)

Section cross-reference(s): 41, 74

IT Liquid crystal displays

Optical filters

Positive photoresists

(manufacture of color curable compns. useful for color filters)

RL: TEM (Technical or engineered material use); USES (Uses) (co-colorant; manufacture of color curable compns. useful for color filters)

III 693827-24-6, Benzyl methacrylate; formaldehyde; 2-hydroxyethyl methacrylate; melamine; methacrylic acid copolymer

RL: POF (Polymer in formulation); PRP (Properties); TEM (Technical or engineered material use); USES (Uses)

(pos.-working photoresists; manufacture of color curable compns. useful for color filters)

L24 ANSWER 2 OF 6 HCAPLUS COPYRIGHT 2010 ACS on STN ACCESSION NUMBER: 2009:1196569 HCAPLUS Full-text

DOCUMENT NUMBER: 151:414708

TITLE: Phthalocyanine-based pigments treated with phthalocyanine compounds, their dispersions.

photoresists containing them, color

filters manufactured from them, and their

manufacture
INVENTOR(S): Nagata, Yuz.

INVENTOR(S): Nagata, Yuzo; Fujimaki, Kazuhiro; Nakagawa,
Mikio

PATENT ASSIGNEE(S): Fujifilm Corporation, Japan SOURCE: Jpn. Kokai Tokkyo Koho, 75pp.

CODEN: JKXXAF
DOCUMENT TYPE: Patent

LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | DATE |
|---------------|------|----------|-----------------|-------|
| JP 2009221376 | A | 20091001 | JP 2008-68188 | 20080 |

PRIORITY APPLN. INFO.: JP 2008-68188

200803 17

OTHER SOURCE(S): MARPAT 151:414708

GΙ

I

AB Title pigments are manufactured by soft milling phthalocyanine-based pigments with H2O-soluble oincry. salts in H2O-soluble organic solvents in the presence of phthalocyanine compds. I (M = divalent metal; R = nonmetal atom monovalent substituent; n = 1-16; m, r = 0-15). The color filters are useful for high-contrast liquid crystal displays (LCD) or solid-state imagers. Preferably, the pigments are selected from C.I. Pigment Blue 15:6, C.I. Pigment Green 36, and C.I. Pigment Green 7. The dispersibility of the pigments is improved by

IT 77447-50-8P 169235-79-4P

1189161-18-9P

RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PREP (Preparation); USES (Uses)

(surface treating pigment for; phthalocyanine-based

photoresist pigments treated with phthalocyanine compds. for color filters of LCDs and solid-state imagers)

RN 77447-50-8 HCAPLUS

CN Copper, [2,9,16,23-tetraphenoxy-29H,31H-phthalocyaninato(2-)kN29,kN30,kN31,kN32]-, (SP-4-1)- (CA INDEX NAME)

RN 169235-79-4 HCAPLUS

CN Copper, [2,3,9,10,16,17,23,24-octaphenoxy-29H,31H-phthalocyaninato(2-)-kN29,kN30,kN31,kN32]-, (SP-4-1)- (9CI) (CA INDEX NAME)

RN 1189161-18-9 HCAPLUS

CN Copper, [2,9-dibutoxy-16,23-diphenoxy-29H,31H-phthalocyaninato(2-)kN29,kN30,kN31,kN32]-, (SP-4-2)- (CA INDEX NAME)

PAGE 1-A

PAGE 2-A

IPCI C09B0067-12 [I,A]; C09B0067-20 [I,A]; C09B0067-46 [I,A]; C09B0067-00
[I,C*]; G02B0005-20 [I,A]; G03F0007-004 [I,A]; G02B0005-22 [N,A]
IPCR C09B0067-00 [I,C]; C09B0067-12 [I,A]; C09B0067-20 [I,A]; C09B0067-46
[I,A]; G02B0005-20 [I,C]; G02B0005-20 [I,A]; G02B0005-22 [N,C];

G02B0005-22 [N,A]; G03F0007-004 [I,C]; G03F0007-004 [I,A]

CC 74-13 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes) Section cross-reference(s): 41

ST surface treatment phthalocyanine pigment photoresist; liq crystal display imager color filter pigment

IT Liquid crystal displays

(color filters for; phthalocyanine-based photoresist pigments treated with phthalocyanine compds. for color filters of LCDs and solid-state imagers)

IT Pigments, nonbiological

(phthalocyanine compound-surface treated; phthalocyanine-based photoresist pigments treated with phthalocyanine compos. for color filters of LCDs and solid-state imagers)

IT Optical filters

Photoresists

(phthalocyanine-based photoresist pigments treated with phthalocyanine compds. for color filters of LCDs and solid-state imagers)

IT 147-14-8, C.I. Pigment Blue 15:6 1328-53-6, C.I. Pigment Green 7 14302-13-7, C.I. Pigment Green 36

RL: TEM (Technical or engineered material use); USES (Uses) (phthalocyanine compound-surface treated; phthalocyanine-based photoresist pigments treated with phthalocyanine compds. for color filters of LCDs and solid-state imagers)

IT 91-15-6, Phthalonitrile 38791-62-7, 4-Phenoxyphthalonitrile 81560-32-9, 4-Butoxyphthalonitrile 147699-63-6,

4,5-Bisphenoxyphthalonitrile

RL: RCT (Reactant); RACT (Reactant or reagent) (phthalocyanine-based photoresist pigments treated with phthalocyanine compds. for color filters of LCDs and solid-state imagers)

T 1344-67-8, Copper chloride

RL: RGT (Reagent); RACT (Reactant or reagent) (phthalocyanine-based photoresist pigments treated with phthalocyanine compds. for color filters of LCDs and solid-state

imagers)
T 77447-50-8P 106923-77-7P 169235-79-4P
1189161-17-8P 1189161-18-9P

RL: IMF (Industrial manufacture); MOA (Modifier or additive use); PREP (Preparation); USES (Uses)

(surface treating pigment for; phthalocyanine-based photoresist pigments treated with phthalocyanine compds. for color filters of LCDs and solid-state imacers)

L24 ANSWER 3 OF 6 HCAPLUS COPYRIGHT 2010 ACS on STN ACCESSION NUMBER: 2004:473453 HCAPLUS $\underline{\text{Full-text}}$

DOCUMENT NUMBER: 141:44857

TITLE: Photosensitive resin composition comprising

halogen-free colorant

INVENTOR(S): Oka, Hidetaka; Adam, Jean-Marie

PATENT ASSIGNEE(S): Ciba Specialty Chemicals Holding Inc., Switz.

SOURCE: PCT Int. Appl., 21 pp.

CODEN: PIXXD2
DOCUMENT TYPE: Patent

LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE

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| | WO | 2004 | 0490 | 70 | | A2 | | 2004 | 0610 | | WO | 2003- | EP50 | 849 | | | 200311 |
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| | WO | 2004 | | | | A3 | | 2004 | | | | | | | | | |
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| | AU | 2003 | 2982 | 93 | | A1 | | 2004 | 0618 | | AU | 2003- | 2982 | 93 | | | |
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| | EP | 1565 | 789 | | | A2 | | 2005 | 0824 | | EΡ | 2003- | 7960 | 25 | | | |
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| | BR | 2003 | 0166 | 5/ | | A | | 2005 | 1018 | | BK | 2003- | 1665 | / | | | |
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| | CN | 1717 | 627 | | | A | | 2006 | 0104 | | CN | 2003- | 8010 | 4325 | | | |
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| | CN | 1005 | 4982 | 5 | | С | | 2009 | 1014 | | | | | | | | |
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| | .TP | 4390 | 707 | | | В2 | | 2009 | 1224 | | | | | | | | |
| | | 4538 | | | | T | | | | | лт | 2003- | 7960 | 25 | | | |
| | A. | 4330 | , , | | | - | | 2010 | 0113 | | U. | 2005- | 1300 | 23 | | | 200311 |
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| | US | 2005 | 0282 | 923 | | AI | | 2005 | 1222 | | US | 2005- | 5353 | /3 | | | |
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| | MX | 2005 | 0056 | 82 | | A | | 2005 | 0726 | | MΧ | 2005- | 5682 | | | | |
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| | IN | 2005 | CN01 | 406 | | A | | 2007 | 0803 | | ΙN | 2005- | CN14 | 06 | | | |
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| | IN | 2197 | 55 | | | A1 | | 2008 | 0704 | | | | | | | | |
| pp. | | Y APP | | TNEO | | *** | | _000 | | | EP | 2002- | 4060 | 35 | | Δ | |
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15

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OTHER SOURCE(S): MARPAT 141:44857

Ι

- AB The present invention relates to a photosensitive resin composition for solder resists comprising as a component (A) a green colorant of the formula I (rings A, B, C and D are substituted by hydroxy or by moiety; R, R2 = H, C1-4-alky1; n = 0-3; ring E = unsubstituted or substituted by C1-6-alkyl, C1-6-alkoxy, hydroxy, NHCOR3, NHSO2, R4 or SO2NHR5; R3, R4, R5 = C1-4-alkyl; Ph); as a component (B) an alkali soluble oligomer or polymer reactive or unreactive; as a component (C) a polymerizable monomer; as a component (D) a photoinitiator; as a component (E) an epoxy compound; and also, if desired, as a component (F) further additives. The photosensitive composition can be used as solder resist, etching resist or plating resist in the manufacture of printed circuit boards. The inventive solder resist comprising a single green pigment that maintains qualities required as a green coloring material, such as clear hue, good weather- and heat resistance and that is satisfactory at the same time in the points of environmental pollution, has not been found yet in the present state of the art.
- 20468-22-8 21707-33-5 29696-46-6 227101-11-3 290821-67-9 667865-45-4

RL: TEM (Technical or engineered material use); USES (Uses)

(photosensitive resin composition comprising halogen-free colorant)

RN 20468-22-8 HCAPLUS

CN Copper, [29H, 31H-phthalocyanine-1, 8, 15, 22-tetrolato(2-)-KN29, KN30, KN31, KN321-, (SP-4-1)- (9CI) (CA INDEX NAME)

PAGE 1-A

PAGE 2-A

RN 21707-33-5 HCAPLUS

CN Copper, [29H,31H-phthalocyanine-2,9,16,23-tetrolato(2-)kN29,kN30,kN31,kN32]-, (SP-4-1)- (9CI) (CA INDEX NAME)

RN 29696-46-6 HCAPLUS

CN Copper, [29H,31H-phthalocyanine-C,C,C,C-tetrolato(2-)kN29,kN30,kN31,kN32]- (9CI) (CA INDEX NAME)

PAGE 1-A

PAGE 2-A

4 (D1_OH)

RN 227101-11-3 HCAPLUS

CN Copper, [2,9,16,23-tetrakis(phenylmethoxy)-29H,31H-phthalocyaninato(2-)-kN29,kN30,kN31,kN32]-, (SP-4-1)- (9CI) (CA INDEX NAME)

PAGE 1-A

RN 290821-67-9 HCAPLUS

CN Copper, [C,C,C,C-tetrakis(phenylmethoxy)-29H,31H-phthalocyaninato(2-)-KN29,KN30,KN31,KN32]- (9CI) (CA INDEX NAME)

Ph-CH2

PAGE 1-A

RN 667865-45-4 HCAPLUS

CN Copper, [1,8,15,22-tetrakis(phenylmethoxy)-29H,31H-phthalocyaninato(2-)-kN29,kN30,kN31,kN32]-, (SP-4-1)- (9CI) (CA INDEX NAME)

PAGE 1-A

IPCI G03F0007-027 [ICM,7]
IPCR G03F0007-038 [I,C*]; G03F0007-038 [I,A]; G03F0007-09 [N,C*];
G03F0007-105 [N,A]; H05K0001-02 [N,C*]; H05K0001-02 [N,A];
H05K0003-00 [N,C*]; H05K0003-00 [N,A]; H05K0003-28 [I,C*];

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and

Other Reprographic Processes)

ST photoresist solder resist printed circuit board compn photosensitive resin

IT Solder resists

(photosensitive resin composition comprising halogen-free colorant) IT 5495-84-1, Quantacure ITX 20468-22-8

21707-33-5 29570-58-9, DPHA 29696-46-6 71868-10-5, Irgacure 907 155575-69-2, GY 1180

227101-11-3 290821-67-9 667865-45-4

671791-90-5, EA-6340

RL: TEM (Technical or engineered material use); USES (Uses)

(photosensitive resin composition comprising halogen-free colorant)
REFERENCE COUNT: 3 THERE ARE 3 CITED REFERENCES AVAILABLE FOR
THIS RECORD. ALL CITATIONS AVAILABLE IN

THE RE FORMAT

L24 ANSWER 4 OF 6 HCAPLUS COPYRIGHT 2010 ACS on STN

ACCESSION NUMBER: 2000:210271 HCAPLUS Full-text

DOCUMENT NUMBER: 132:252454

TITLE: Substituted phthalocyanines and their use INVENTOR(S): Wolleb, Annemarie; Wolleb, Heinz; De Keyzer,

Gerardus; Wagner, Barbara

PATENT ASSIGNEE(S): Ciba Specialty Chemicals Holding Inc., Switz.

SOURCE: PCT Int. Appl., 37 pp.

CODEN: PIXXD2
DOCUMENT TYPE: Patent
LANGUAGE: German

FAMILY ACC, NUM, COUNT: 2

PATENT INFORMATION:

| PATENT NO. | | | | | KIN | | DATE | | | APPL | ICAT | ION : | NO. | | D. | DATE | | | |
|---------------|------|------|-----|-----|-----|-----|------|-------|-----|------|-------|-------|-----|-----|-----|-------|--|--|--|
| WO 2000017275 | | | | | A1 | | 2000 | 0330 | | WO 1 | 999- | EP66 | 53 | | | | | | |
| | | | | | | | | | | | | | | | | 99909 | | | |
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| | W: | | | | | | | BA, | | | | | | | | | | | |
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| | | | | | | | | KG, | | | | | | | | | | | |
| | | | | | | | | MW, | | | | | | | | | | | |
| | | | | SI, | SK, | SL, | TJ, | TM, | TR, | TT, | UA, | UG, | US, | UZ, | VN, | YU, | | | |
| | DM. | ZA, | | ME | T C | MEG | CD | SL, | CF | 110 | 77.50 | n T | DE | CII | CV | DE | | | |
| | RW: | | | | | | | IE, | | | | | | | | | | | |
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| EΡ | 1119 | 590 | | | A1 | | 2001 | 0801 | | EP 1 | 999- | 9694 | 25 | | | | | | |
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| ΕP | 1119 | 590 | | | B1 | | 2003 | 0108 | | | | | | | | | | | |
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| | | | | | LT, | | | | | | | | | | | | | | |
| JΡ | 2002 | 5265 | 89 | | T | | 2002 | 0820 | | JP 2 | 000- | 5741 | 78 | | | | | | |
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| | | | | | | | | | | | | | | | 0 | 9 | | | |
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| ES | 2189 | | Т3 | | 2003 | 0701 | | ES | 1999- | -9694 | 25 | | 199909 09 | | | | | |
| TW | TW 477791 | | | | В | | 2002 | 0301 | | TW | 1999- | -1162 | 02 | | | 199909 20 | | |
| WO | 0 2000039221 | | | | A1 | | 2000 | 0706 | | WO | 1999- | EP10 | 006 | | | | | |
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| | | | | | *** | | 2001 | 1010 | | | 1000 | 3030 | J. | | | 19 | 9912 | |
| EP | 1141 | | | | B1 | | 2002 | | | | | | | | | | | |
| | R: | PT, | ΙE, | CH, | LT, | LV, | FI, | RO | | | R, IT, | | | NL, | SI | Ξ, | MC, | |
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| AT | 2258 | 30 | | | Т | | 2002 | 1015 | | AT | 1999- | -9635 | 57 | | | 16 | | |
| | | | | | | | | | | | | | | | | 19 | 9912 | |
| US | 6444 | 807 | | | В1 | | 2002 | 0903 | | US | 2001- | -7869 | 65 | | | | | |
| | | | | | | | | | | | | | | | | 12 | 0103 | |
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| | | | | | | | | | | | | | | | | 21 | | |
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| | | | | | | | | | | | | | | | | 19 29 | 9812 | |
| | | | | | | | | | | EP | 1999- | -8101 | 07 | | A | | | |
| | | | | | | | | | | | | 2201 | | | • | 19 09 | 9902 | |
| | | | | | | | | | | WO | 1999- | EP66 | 53 | | W | | | |
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| | | | | | | | | | | WO | 1999- | EP10 | 006 | | W | | | |

199912 16 22

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US 2001-786965 A3 200103

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OTHER SOURCE(S): MARPAT 132:252454

AB The (na)phthalocyanines (1), with reproducible shades under varying conditions, bear y substituents Y and x substituents SO2XZ [each X = 0, S, NR; each R = H, Z, CO2Q; Q = CR1R2R3, CR4R8CR5:CR6R7, CR4R8C.tplbond.CR9, CR4R8R10, substituted C2-8 alkyl; R1, R5-R7, R12 = H, C1-6 alkyl; R2, R3, R11 = C1-6 alkyl; R4, R8 = (un)substituted C1-6 alkyl or Ph; R9 = H, C1-6 alkyl, COR11, COC6H4R12, CO2R13; R10 = (un)substituted Ph; R13 = C1-6 alkyl, (un)substituted Ph; each Y = substituent inert to alkylation; each Z = CnH2RQ1; Q1 = N(CO2Q1, NHCO2Q, COC2Q, SCO2Q; n = 2-12; x = 1-4; y = 0-15; (x + y) ≤ 16]. Thus, CuPc was treated with C18O3H, then with SOC12, condensed with ethanolamine, and the resulting tetrakis[N-(2-hydroxyethyl)sulfonamide] was treated with O(CO2Bu-tert)2 in THF at 23° in the presence of 4- (dimethylaminolypridine to give a blue I (X = NCO2CM63, X = CH2CH2CCO2CM63, x = 4, y = 0), %max 672 nm in CH2C12. The compds. are used as colorants and pigment precursors, especially in light-sensitive compns. for color filters.

RN 262355-88-4 HCAPLUS

CN

COPPER [[[(C,C,C,C-tetraphenoxy-29H,31H-phthalocyanine-C,C,C,C-tetrayl-kN29,kN30,kN31,kN32)tetrakis[sulfony l[(l,1-dimethylethoxy)carbonyl]mino]-2,1-ethanediyl]]tetrakis[(l,1-dimethylethyl carbonato][(2-)]- [9CI) (CA INDEX NAME)

PAGE 1-A

4 (D1_O_Ph)

$$4 \begin{bmatrix} \bigcirc & \bigcirc & \bigcirc \\ \bigcirc & \bigcirc & \bigcirc & \bigcirc \\ \downarrow & -\text{D1} & \bigcirc & \bigcirc \\ \downarrow & -\text{BuO} - \bigcirc & \downarrow & \text{CH}_2 - \text{CH}_2 - \bigcirc & \bigcirc & \bigcirc \\ \downarrow & \bigcirc & \bigcirc & \bigcirc & \bigcirc & \bigcirc \\ \downarrow & \bigcirc & \bigcirc & \bigcirc & \bigcirc & \bigcirc & \bigcirc \\ \downarrow & \bigcirc \\ \downarrow & \bigcirc \\ \downarrow & \bigcirc \\ \downarrow & \bigcirc \\ \downarrow & \bigcirc & \bigcirc & \bigcirc & \bigcirc & \bigcirc & \bigcirc \\ \downarrow & \bigcirc & \bigcirc & \bigcirc & \bigcirc & \bigcirc \\ \downarrow & \bigcirc & \bigcirc & \bigcirc & \bigcirc & \bigcirc \\ \downarrow & \bigcirc & \bigcirc & \bigcirc & \bigcirc & \bigcirc \\ \downarrow & \bigcirc & \bigcirc & \bigcirc & \bigcirc \\ \downarrow & \bigcirc & \bigcirc & \bigcirc & \bigcirc \\ \downarrow & \bigcirc \\ \downarrow$$

RN 262355-89-5 HCAPLUS

CN Copper, [[[[C,C,C,C-tetrakis(1-naphthalenyloxy)-29H,31Hphthalocyanine-C,C,C,C-tetraylk129,k130,kN31,kN32]tetrakis[sulfonyl[[(1,1dimethylethoxy)carbonyl]imino]-2,1-ethanediyl]
tetrakis(1,1-dimethylethyl carbonato)[(2-)]- (SCI) (CA INDEX NAME)

PAGE 1-A

PAGE 3-A

IPCI C09B0047-26 [ICM, 7]; C09B0047-04 [ICM, 7, C*]; G03F0007-004 [ICS, 7];

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C09D0011-00 [ICS.7]
IPCR G03G0009-09 [I,C*]; G03G0009-09 [I,A]; B41M0005-00 [I,C*];
     B41M0005-00 [I,A]; B41M0005-26 [I,C*]; B41M0005-26 [I,A];
     B41M0005-385 [I,A]; B41M0005-39 [I,A]; C07D0487-00 [I,C*];
     C07D0487-22 [I,A]; C08K0005-00 [I,C*]; C08K0005-36 [I,A];
     C08L0101-00 [I,C*]; C08L0101-00 [I,A]; C09B0047-04 [I,C*];
     C09B0047-24 [I,A]; C09B0047-26 [I,A]; C09D0007-00 [I,C*];
     C09D0007-00 [I,A]; C09D0011-00 [I,C*]; C09D0011-00 [I,A];
     G02B0005-20 [I,C*]; G02B0005-20 [I,A]; G02B0005-22 [I,C*];
     G02B0005-22 [I,A]; G03F0007-00 [I,C*]; G03F0007-00 [I,A];
     G03F0007-004 [I,C*]; G03F0007-004 [I,A]; G03F0007-029 [I,C*];
    G03F0007-029 [I.A]
   41-7 (Dyes, Organic Pigments, Fluorescent Brighteners, and
     Photographic Sensitizers)
    phthalocyanine pigment shade invariance; photoresist
ST
    substituted phthalocyanine pigment
IT
    Electrophotographic toners
      Photoresists
        (substituted phthalocyanines and their use in)
    24424-99-5DP, Di-tert-butyl dicarbonate, reaction products with
     phthalocyaninetetrakis[(amino- or hydroxyalkyl)sulfonamides]
     262355-85-1DP, reaction products with di-tert-Bu dicarbonate
     262355-86-2P
                  262355-87-3P
                                  262355-88-4P
     262355-89-5P
                   262355-90-8P
                                   262355-91-9P
    RL: SPN (Synthetic preparation); TEM (Technical or engineered
     material use); PREP (Preparation); USES (Uses)
        (substituted phthalocyanines and their use)
                               THERE ARE 9 CAPLUS RECORDS THAT CITE THIS
OS.CITING REF COUNT:
                               RECORD (21 CITINGS)
REFERENCE COUNT:
                               THERE ARE 6 CITED REFERENCES AVAILABLE FOR
                         6
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THE RE FORMAT

THIS RECORD. ALL CITATIONS AVAILABLE IN

L24 ANSWER 5 OF 6 HCAPLUS COPYRIGHT 2010 ACS on STN ACCESSION NUMBER: 1998:202698 HCAPLUS Full-text

DOCUMENT NUMBER: 128:315186

ORIGINAL REFERENCE NO.: 128:62321a,62324a

TITLE: Optical recording media containing azo

compound-metal complex dyes

INVENTOR(S): Kadota, Atsushi; Suzuki, Takahiko; Kanoto, Emiko; Shinkai, Masahiro; Kitagawa, Sumiko

PATENT ASSIGNEE(S): TDK Electronics Co., Ltd., Japan SOURCE: Jpn. Kokai Tokkyo Koho, 75 pp.

SOURCE: Jpn. Kokai Tok
CODEN: JKXXAF

DOCUMENT TYPE: Patent
LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1 PATENT INFORMATION:

| PATENT NO. | KIND | DATE | APPLICATION NO. | D. | ATE |
|------------------------|------|----------|-----------------|----|-------|
| | | | | | |
| JP 10081069 | A | 19980331 | JP 1997-21016 | | |
| | | | | | 99701 |
| | | | | 2 | 0 |
| JP 3411771 | B2 | 20030603 | | | |
| US 5858613 | A | 19990112 | US 1997-786458 | | |
| | | | | | 99701 |
| | | | | 2 | 1 |
| PRIORITY APPLN. INFO.: | | | JP 1996-28646 | A | |
| | | | | | 99601 |
| | | | | 2 | 3 |
| | | | JP 1996-204340 | A | |
| | | | JP 1996-204340 | | 99607 |
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ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT GI

- * STRUCTURE DIAGRAM TOO LARGE FOR DISPLAY AVAILABLE VIA OFFLINE PRINT *
- AB An optical recording media contains an azo compound-metal complex dve which is obtained by reacting a metal compound with an azo compound (I; Q1 = a group of atoms necessary to form an aromatic ring together with the two carbon atoms; Z = group having an active hydrogen; A = C or heteroatom; Q2 = a group of atoms necessary to form an aromatic ring together with A and the two carbon atoms; Q3 = a group of atoms necessary to form an aromatic ring together with A, C, and N atoms; the aromatic ring completed by Q2 and the one completed by Q3 form a condensed ring), 8-phenylazo-8-quinoline (II; R1 - R4 = halo, NH2, alkyl, alkoxy, aryloxy, acyl, aryl, CONH2, alkoxycarbonyl; R1 and R2, R2 and R3, or R3 and R4 are bonded together to form a condensed ring; Z = OH, SH, NH2, CO2H, CONH2, SO2NH2, SO3H; R5 - R10 = H, halo, NO2, cyano, alkyl), or Q4N:NQ5 (Q4 = 8-quinoly1; Q5 = 1H-2-imidazoly1). The central metal of the azo compound-metal complex dye is Co, Mn, Ti, V, Ni, Cu, Zn, Mo, W, Ru, Fe, Pd, Pt, or Al. This optical recording media is capable of recording and regeneration at short wavelength 635-680 nm or two wavelengths, i.e. the short wave length and the conventional wavelength (.apprx.780 nm). It exhibits excellent photoresistance and high sensitivity and solubility and is used in CD-R for high d. recording at the short wavelength and meets specifications of

CD-R Orange Book and is compatible with com. CD or DVD players. Thus, diazotization of 8-aminoquinoline with NaNO2 in aqueous H2SO4 followed by coupling of the resulting diazonium salt with 4-(diethylamino)phenol gave 2-(8-quinolylazo)-5-(diethylamino)phenol which was dissolved in MeOH and treated with CoCl2.6H2O for 5 min followed by salt exchange with ammonium tetrafluoroborate to give the Co2+-III complex BF4- salt (IV). A solution IV of in 2-ethoxyethanol was spin-coated on a polycarbonate substrate to form a dye film of 500Å which showed high reflectivity at wide wavelength region of 500-700 nm.

IT 186415-88-3

RL: TEM (Technical or engineered material use); USES (Uses)
(optical recording media containing azo compound-metal complex dyes)

RN 186415-88-3 HCAPLUS

CN Copper, [1,8,15,22-tetrakis[2,4-bis(1,1-dimethylethyl)phenoxy]-29H,31H-phthalocyaninato(2-)-

κN29,κN30,κN31,κN32]-, (SP-4-1)- (9CI) (CA

INDEX NAME)

PAGE 1-A

PAGE 2-A

G11B0007-24 [ICS,6] IPCR B41M0005-26 [I,C*]; B41M0005-26 [I,A]; C09B0045-00 [I,C*]; C09B0045-14 [I,A]; G11B0007-24 [I,C*]; G11B0007-24 [I,A]; G11B0007-244 [I,A] 74-12 (Radiation Chemistry, Photochemistry, and Photographic and CC Other Reprographic Processes) 186415-88-3 186416-14-8 206562-29-0 206562-33-6 206562-35-8 206562-37-0 206562-43-8 206562-45-0 206562-47-2 206562-49-4 206562-51-8 206562-53-0 206562-59-6 206562-62-1 206562-64-3 206562-65-4 206562-68-7 206562-71-2 206562-74-5 206562-77-8 206562-80-3 206562-82-5 RL: TEM (Technical or engineered material use); USES (Uses) (optical recording media containing azo compound-metal complex dyes) OS.CITING REF COUNT: 5 RECORD (5 CITINGS)

THERE ARE 5 CAPLUS RECORDS THAT CITE THIS

L24 ANSWER 6 OF 6 HCAPLUS COPYRIGHT 2010 ACS on STN ACCESSION NUMBER: 1994:41990 HCAPLUS Full-text

DOCUMENT NUMBER: 120:41990

ORIGINAL REFERENCE NO.: 120:7549a,7552a TITLE:

Dyes for color filters, photosensitive resist resin compositions containing the

same, and color filters

INVENTOR(S): Karasawa, Akio; Itoh, Hisato; Sugimoto, Kenichi PATENT ASSIGNEE(S): Mitsui Toatsu Chemicals, Inc., Japan

SOURCE: Eur. Pat. Appl., 38 pp.

CODEN: EPXXDW DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 2

PATENT INFORMATION:

| PA | PATENT NO. | | | DATE | APPLIC | ATION NO. | _ | DATE |
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| EP | 546856 | | A2 | 19930616 | EP 199 | 2-311343 | | 199212 |
| | 546856 546856 R: DE, FR, | CD | A3 B1 | 19940525 20010822 | | | | 11 |
| JP | 05271567 | GD, | A | 19931019 | JP 199 | 2-327842 | | 199212 08 |
| EP | 832942 | | A2 | 19980401 | EP 199 | 7-118306 | | 199212 |
| EP | 832942 R: DE, FR, | | A3 NL | 20000531 | | | | 11 |
| PRIORIT | Y APPLN. INFO | | | | JP 199 | 1-328474 | A | 199112 12 |
| | | | | | EP 199 | 2-311343 | А3 | 199212 |

AR Dyes suitable for use in the fabrication of color filters contain one or more photopolymerizable substituents which may preferably be represented by the following formula: D-(A-Yn1)n2 wherein D represents a chromophoric nucleus, A

denotes a connecting group, Y means the photopolymerizable group, nl is 1-10000, and n2 stands for an integer of 1-10. Also described are photosensitive resist resin compns. containing the dyes as well as color filters fabricated by curing the photosensitive resist resin compns.

IT 151605-07-1 151605-29-7 RL: USES (Uses)

(photopolymerizable dye)

RN 151605-07-1 HCAPLUS CN Copper, [[1,1',1'',1'''-[29H,31H-phthalocyanine-1,8,15,22-

tetrayltetrakis(oxy-4,1-phenylene)|tetrakis[3-phenyl-2-propen-1-onato]](2-)-N29,N30,N31,N32]-, (SP-4-1)- (9CI) (CA INDEX NAME)

PAGE 1-A

RN 151605-29-7 HCAPLUS

CN Copper, [[29H,31H-phthalocyanine-1,8,15,22tetrayltetrakis(oxymethylene-4,1-phenylene) tetrabenzoato](2-)-N29,N30,N31,N32]-, (SP-4-1)- (9CI) (CA INDEX NAME)

PAGE 1-A

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IPCI G03F0007-027 [ICM,5]; G03C0007-12 [ICS,5]; G03C0007-04 [ICS,5,C*];
     C09B0069-10 [ICS,5]; C09B0069-00 [ICS,5,C*]
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- IPCR C09B0069-00 [I,C*]; C09B0069-10 [I,A]; G02B0005-20 [I,C*]; G02B0005-20 [I,A]; G03F0007-00 [I,C*]; G03F0007-00 [I,A]; G03F0007-027 [I,C*]; G03F0007-027 [I,A]
- CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)
- ST photopolymerizable dye photoresist color filter IT
- Resists
- (photo-, photopolymerizable dye for)
- 81-42-5D, reaction products with polyvinyl alc. acetals 147-14-8D, IT reaction products with polyvinyl alc. acetals 2478-67-3D, reaction products with polyvinyl alc. acetals 9002-89-5D, reaction products with 4-(2-(4-(N-butylpyridium)ethenyl)benzaldehyde bromide, copper phthalocyanine, and (di)(amino)(hydroxy)(di)chloroanthraquinones

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16474-11-6 82964-44-1D, cyclic acetals with polyvinyl
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    RL: USES (Uses)
       (photopolymerizable dye)
                             THERE ARE 2 CAPLUS RECORDS THAT CITE THIS
OS.CITING REF COUNT:
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               E US2007-79566/AP
               E W02007-US79566/AP
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FILE 'REGISTRY' ENTERED AT 16:01:38 ON 03 DEC 2010

```
L8
          14 S L7 SSS SAM SUB=L4
L9
             STR L7
L10
            0 S L9 SSS SAM SUB=L4
L11
            0 S L9 SSS FUL SUB=L4
L12
          362 S L7 SSS FUL SUB=L4
              SAV L12 JOH373S1/A
   FILE 'LREGISTRY' ENTERED AT 16:08:06 ON 03 DEC 2010
L13
              STR L7
    FILE 'REGISTRY' ENTERED AT 16:12:32 ON 03 DEC 2010
L14
            6 S L13 SSS SAM SUB=L4
           171 S L13 SSS FUL SUB=L4
L15
              SAV L15 JOH373S2/A
L16
              STR L13
L17
            0 S L16 SSS SAM SUB=L4
L18
            0 S L16 SSS FUL SUB=L4
   FILE 'HCAPLUS' ENTERED AT 16:15:36 ON 03 DEC 2010
L19
              QUE PHOTORESIST? OR PHOTO(2N)RESIST? OR RESIST OR RESISTS
L20
           241 S L12
L21
            4 S L20 AND L19
L22
          124 S L15
L23
            3 S L22 AND L19
            6 S L21 OR L23
L24
```

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